



Warren Named Interim Vice Provost for Research and Graduate Studies; Roberts Completes Decade with Research Center

Steve Warren, director of the University of Kansas' internationally known Schiefelbusch Institute for Life Span Studies, will become interim vice provost for research and graduate studies in August. Warren will succeed Jim Roberts, who has decided to step down after a decade at the KU Center for Research Inc. and will resume his role as a professor of electrical engineering full time.

"Steve is intimately familiar with the challenges and opportunities of running a major research program and will provide excellent stewardship during the interim period," said Provost and Executive Vice Chancellor Richard Lariviere.

Since 2001, Warren has directed the Life Span Institute, one of the nation's largest research programs for the prevention and treatment of developmental disabilities. He came to KU in 1999 from Vanderbilt University, where he was a professor of special education and deputy director of the John F. Kennedy Center for Human Development, one of the nation's oldest and most prestigious mental retardation research programs.

Lariviere praised Roberts for his leadership of research on the Lawrence campus.

"Jim was present at the creation of the KU Center for Research in 1997," said Lariviere. "Since then, research space has grown 50 percent, the volume of research has tripled and KU's national research ranking climbed 10 places. Jim was part of all of that, as a strong and effective advocate for the university's mission in research and graduate studies.

"There are few people more loyal and more dedicated to this superb institution than Jim Roberts. We are all grateful to him for the 10 years he invested in KUCR and for everything that he continues to do for KU."

Roberts joined the KU faculty in 1990 as professor and chair of the Department of Electrical and Computer Engineering. As chair, he led the merger of two departments to form the Department of Electrical Engineering and Computer Science. Roberts became associate vice chancellor and then associate vice provost for research in 1998. He served in that capacity until 2003, when he became interim vice provost for research. In 2004, he was named to the position on a permanent basis.

"I've been deeply involved with the KU research office since the fall of 1996, beginning as a member of the faculty committee that established the KU Center for Research," said Roberts. "I've had an influence on the direction of research policy and research administration for more than 10 years, and I think that's long enough."

Roberts earned a bachelor's in electrical engineering from KU in 1966. He received a master's in electrical engineering from the Massachusetts Institute of Technology in 1968 and a doctorate in electrical engineering from Santa Clara University in 1979.

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Research@KU is produced by the Office of Research and Graduate Studies. For more information, please contact Christine Foshier at cfoshier@ku.edu.

Sara Rosen Named Associate Vice Provost and Dean of Graduate Studies

Sara Thomas Rosen, professor and chair of the Department of Linguistics at the University of Kansas, has been named associate vice provost and dean of graduate studies.

The appointment follows the previously announced combination of the Lawrence campus Office of Research and the Graduate School. Rosen will report to Steve Warren, interim vice provost for research and graduate studies, and serve alongside two existing associate vice provosts, George Wilson and Joshua Rosenbloom.

"I'm delighted that Sara has accepted the challenge of this new position in this new organization," said Richard Lariviere, executive vice chancellor and provost. "Our goal as a university is to align research and graduate studies and grow both dramatically during the coming decade. Sara is an ideal person to help lead that effort."

Rosen joined the KU faculty in 1991 as an assistant professor. Her background includes a B.A. degree in psychology (1981) and an M.Ed. degree in special education (1982), both from the University of North Carolina at Chapel Hill. She received a doctorate in linguistics and cognitive science from Brandeis University (1990).

Prior to coming to KU, Rosen was a post-doctoral fellow at the Montreal campus of the University of Quebec. She was also an assistant visiting professor in the Department of Linguistics at the University of Maryland-College Park.

At KU, Rosen progressed to associate professor in 1996 and full professor in 2006. She has been department chair since 2000. Her primary research interest is the relationship between sentence structure and sentence interpretation.

"This is a great opportunity to bring graduate education to the forefront at KU," said Rosen. "We have many strong programs in the social sciences, the humanities, the sciences and engineering. I want all of them to connect more closely with KU's research mission, while maintaining their focus in great teaching. The whole faculty will be engaged in making this happen, and I look forward to working with them on this."

Rosen served as a senior administration fellow at KU during 2004-05, a professional development position designed to equip faculty for increasingly responsible positions on campus. The following year, she was chosen for the National Science Foundation's ADVANCE Leadership Institute for Senior Women Faculty.

At KU, Rosen is a past recipient of the J. Michael Young Academic Advising Award (2002) and an Excellence in Teaching Award from the Center for Teaching Excellence (1999).

FY2008 General Research Fund Award Recipients Announced

The General Research Fund (GRF) is a competitive annual award program that provides funding for the advancement of the university's research program. The Office of Research and Graduate Studies (RGS) provides funds to each school or college and assists in the administration of these funds. For a complete list of award recipients, go to http://www.kucr.ku.edu/depts/finance/grf_awards_fy2008.shtml.

All funds are awarded for a one-year period (July 1 to June 30) and may be used for summer salary, student or GRA salary, supplies and travel. For more information, go to <http://www.kucr.ku.edu/depts/finance/grf.shtml>.

Research Integrity to Host Brown Bag Workshop on Conflict of Interest

Kansas Board of Regents and KU policies require full-time faculty, unclassified staff, and certain other employees to file a conflict of interest (COI) report upon employment, annually and as their circumstances change. The annual COI reporting cycle is coming up soon, in early September. In order to help interested KU faculty and staff understand and meet this ongoing requirement, Research Integrity will be hosting a brown bag workshop to provide an overview of COI policies, procedures, and strategies for managing potential conflicts when they do arise. The workshop will be held at noon on Wednesday, August 29, in the Apollo Room of Nichols Hall on KU's west campus. No prior registration is necessary. The workshop will last about an hour.

If you have any questions regarding the workshop, please contact Susan MacNally, Compliance Coordinator, at 864-4148 or sumac@ku.edu.

New Procedure in Effect for Grant Proposals to NIH and NSF Which Include Research Involving Vertebrate Animals

Within one month of submitting grant proposals to the NIH or NSF (or NIH/NSF flow-through funding), researchers are now expected to submit to the Institutional Animal Care and Use Committee (IACUC) an animal

Upcoming Events

August 8

Fall Graduate Student Orientation—8:00 a.m.–noon
Wescoe Auditorium, Room 3139
More information: rgs@ku.edu

August 29

Brown Bag Workshop on Conflict of Interest—noon
Apollo Room, Nichols Hall, West Campus
More information: sumac@ku.edu

September 11

National Council of University Research Administrators (NCURA) video workshop on Sub-awards: A Survivor's Guide of Key Concepts and Principles—10:30 a.m.–2:30 p.m.
Simons Auditorium, Higuchi Biosciences Center
Save the date. Registration information to come.

September 12

Research Administration 101—2:30-4:30 p.m.
Apollo Room, Nichols Hall, West Campus
More information: sumac@ku.edu

use statement (AUS) that corresponds to the research involving vertebrate animals included in the grant proposal.

Reasons for this policy:

Researchers have increasingly waited until an award was issued to begin the process of submitting an animal use statement to the IACUC. Since spending under an award cannot begin until the AUS has been approved, researchers have commonly asked to accelerate the AUS review process beyond the monthly IACUC review meetings in order to set up their award accounts. It is unreasonable to expect the IACUC to perform immediate, ad hoc reviews (and potential follow-up reviews) of animal use statements when there would have been ample time to perform the reviews normally had the researchers submitted an AUS in advance of the award. Since NIH and NSF tend to require extensive animal use information as part of the grant application, providing this information to the IACUC in an animal use statement shortly after the proposal submission should not be an overwhelming burden to the researcher.

This policy will have the added benefit of providing to Research and Graduate Studies animal use protocols that correspond directly to externally-funded awards from NIH and NSF. In accepting externally sponsored awards, KU has many reporting obligations to the funding agencies, many of which

involve the oversight of any vertebrate animal research under the award. Having animal use statements that correlate directly with the work being performed under these sponsored projects will facilitate reporting requirements considerably.

Consequences of not complying with the policy:

The IACUC will not provide ad hoc reviews of AUS applications for researchers who had the opportunity to submit an AUS at or near the proposal stage but failed to do so.

Animal use statement forms, training materials, applicable university policies, and other useful information regarding animal research at KU may be found on the Animal Care Unit website at <http://www2.ku.edu/~acu>.

Clarification of Procedures for KU-Lawrence Researchers Working with the KUMC Human Subjects Committee

University officials on the KU-Lawrence and KU Medical Center (KUMC) campuses have approved a collaborative mechanism so that research involving human participants at KUMC or on both campuses needs to be approved by the human subjects committee on only one campus. Specifically,

- If a KU-Lawrence research project involves human participants solely on the KU Medical Center campus, then the KU Medical Center human subjects committee would be the reviewing body.
- If a KUMC research project involves human participants solely on the Lawrence campus or its affiliates, then the KU-Lawrence human subjects committee would be the reviewing body.
- If human participants are located on both campuses, then the KU Medical Center human subjects committee would be the reviewing body.

For projects involving KUMC or with participants on both campuses, a KU-Lawrence investigator can request permission to rely on the oversight of the KU Medical Center's human subjects committee by completing a "Request to Rely" form (available at http://www.rcr.ku.edu/hsc/hsc_handbook/app_b.shtml) along with the study protocol.

Questions regarding this collaborative arrangement can be addressed to Mary Denning, associate coordinator, human subjects research compliance, at 864-7385 or mdenning@ku.edu.

Institute Offers Life Science Funding Opportunity

The **Kansas City Area Life Sciences Institute (KCALSI)**, the coordinating body for the Kansas City region's life science research initiatives, recently released a request for proposals (RFP) for the Research Development Grant Program. Proposals are invited for collaborative research development grants in oncology, comparative medicine, cardiovascular disease, neuroscience and technology applied to biological problems. Crosscutting enabling technologies have also been identified for support, including proteomics, information technology/bioinformatics and imaging.

Interested researchers should submit a signed one-page letter of intent by 5 p.m. July 30 to Dr. Keith Gary, director of program development. Full proposals are due August 27. More information about the program is available at <http://www.kclifesciences.org/Default.aspx?tabid=403>.

Researchers & Graduate Students in the News

KU doctoral student wins national award to fund study of rural community survival

<http://www.news.ku.edu/2007/july/17/wiebold.shtml>

Loralie Lynn Wiebold, a doctoral student in sociology, has received a \$3,300 Robert K. Merton Award from the Horowitz Foundation for Social Policy to support her dissertation research.

Center for Remote Sensing of Ice Sheets researcher embarks on Greenland traverse

<http://www.news.ku.edu/2007/july/11/laird.shtml>

Claude Laird is part of an international team of researchers and students who will traverse an ice divide in central Greenland to establish an ice drilling site.

KU researcher updates his classic book 'The Bees of the World'

<http://www.news.ku.edu/2007/july/9/beesoftheworld.shtml>

Johns Hopkins University Press published "The Bees of the World, Second Edition," by Charles D. Michener, professor emeritus of entomology.

KU hires high throughput screening laboratory director

<http://www.news.ku.edu/2007/july/5/chaguturu.shtml>

Rathnam Chaguturu will be working in collaboration with the KU Cancer Center to help boost KU's drug discovery efforts for cancer research.

Researchers' methane study to appear in National Academy of Sciences journal

<http://www.news.ku.edu/2007/july/5/methane.shtml>

The research of David Graham, professor of civil, environmental and architectural engineering; Charles Knapp, environmental engineering post-doctoral researcher; David Fowle, assistant professor of geology; Jennifer Roberts, assistant professor of geology; and Ezra Kulczycki, geology graduate student, will be featured in the July 17 issue of PNAS, the Journal of the National Academy of Sciences.

Research Administration

Sponsored Program Administration reorganizes to expand services

Sponsored program administration (SPA) is undergoing reorganization, including a change of name. The changes follow the retirement of manager Susan Archer in June.

The new unit, Post Award Services, is expanding services under the interim management of Barbara Armbrister. Anita Abel, Mickie Gillispie, and Brandy Cornett, formerly with Business Services, will join the group to review vouchers involving sponsored and matching funds. All three are relocating to offices in the south wing of Youngberg Hall alongside the sponsored accounting staff.

Research and Graduate Studies@KU

Invoices and voucher logs should continue to be addressed to Business Services where they will be sorted and routed to Post Award Services for review. After review of the vouchers, issue of payments, including checks and wire transfer requests, will be the responsibility of Business Services. All cash receipts should be delivered to Business Services, Attn: Karen Schaller. Purchasing and credit card administration will continue in Business Services.

A general help line (864-7780) will be answered by Anita Abel or Michelle Ginavan. Jay Darnell (864-7775) or Barbara Armbrister (864-3444) may also be contacted with questions.

Research Administration 101 to be offered Fall 2007

Research Administration 101 is a certificate program offered by the University of Kansas Center for Research (KUCR) to members of the KU community engaged in the administration of externally sponsored projects. Each fall the program is offered in a class format consisting of ten weekly 2-hour sessions taught by a variety of in-house experts from the Office of Research and Graduate Studies as well as invited guests from the broader KU community.

The course is intended to provide participants with an overview of what sponsored projects are, how they are administered at KU, and who bears responsibility for seeing that they are administered responsibly. Many of the topics addressed are relevant to all sponsored projects, including research, educational service agreements, training grants, and public service projects. Some topics will be relevant to any research activity, regardless of whether or not it is externally funded.

This Fall RA101 will be offered Wednesday afternoons, 2:30-4:30 p.m., starting September 12 and ending November 14. All classes will be held in the Apollo Room of Nichols Hall, West Campus. Yellow and Red parking, plus a limited number of meters, are available around the building. The KU Park & Ride bus also has a stop by Nichols Hall (see <http://www.parkandride.ku.edu> for details).

To register for the Fall 2007 course, please contact Susan MacNally, Compliance Coordinator, Research Integrity, sumac@ku.edu. Include your name and department or affiliation.

KUCR and Haskell Indian Nations University establish procedures for collaborative proposal submissions

The KU Center for Research, Inc. (KUCR) and Haskell Indian Nations University have jointly created a procedure to ensure that both universities are properly notified of involvement/collaboration for proposals submitted for external funding.

As part of KUCR's initial discussion regarding a new proposal submission, KU investigators will be asked, "Do you plan on identifying Haskell Indian Nations University in your proposal submission? If yes, please explain. Please note: Haskell requires 2 weeks notice prior to proposal submission to determine whether to participate in a KU proposal. Please contact Freda Gipp, Haskell, 749-8407 or fgipp@haskell.edu as soon as possible."

KUCR will send appropriate documentation to Haskell as soon as possible. In turn, Haskell will notify KUCR of their determination regarding inclusion. After submission, if Haskell should decline to be involved with a proposed KU project, KUCR will notify the KU PI of this decision, and Haskell's non-involvement will be addressed prior to award.

Changes to GTA/GRA SAS health insurance reimbursement

KU will offer one insurance plan for graduate teaching assistants (GTAs) and graduate research assistants (GRAs) beginning with the 2007-2008 academic year. As a result, all reimbursement requests for the GRA Student Assurance Services (SAS) Health Insurance plan for the 2006-2007 academic year must be received no later than October 1. Requests received after this time will be ineligible for reimbursement. Questions? Contact Angie Loving, manager, KUCR compensation & appointments, at 864-7472 or aloving@ku.edu.

Upcoming NCURA video workshop

The 2007 **National Council of University Research Administrators (NCURA)** broadcast series concludes with a live satellite downlink broadcast in September:

Sub-awards: A Survivor's Guide of Key Concepts and Principles

September 11, 10:30-2:30

Simons Auditorium, Higuchi Biosciences Center

Program information: <http://www.ncura.edu/conferences/videoseries/2007/programs/subawards.htm>

A participant may receive .3 CEUs or 4 CPE units for attending an entire video workshop. Attendees may indicate interest in earning credits during registration. To register, go to <http://hbc.ku.edu/NCURAreistration.shtml>. The series is co-sponsored by **KUCR** and the **Higuchi Biosciences Center (HBC)**.